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Paper No.

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MAY 1 3 2004

In re Application of:

OFFICE OF PETITIONS

Zhou (Mike) Hong

Application No. 10/669,236

Filed: September 23, 2003

Title of Invention: APPARATUS AND

METHOD FOR REDUCING THE MEMORY

TRAFFIC OF A GRAPHIC RENDERING

SYSTEM

DECISION REFUSING

STATUS UNDER

37 CFR 1.47(b)

This is in response to a petition under 37 CFR 1.47(b), filed March 22, 2004, to allow a person to whom an inventor has assigned or agreed in writing to assign the invention, or who otherwise shows sufficient proprietary interest in the matter justifying such action, to make application on behalf of and as agent for all inventors. The one (1) month extension of time requested is hereby granted.

The petition is dismissed.

Rule 47 applicant is given TWO (2) MONTHS from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(b)", and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. Failure to respond will result in abandonment of the application. Any extensions of time will be governed by 37 CFR 1.136(a).

The above-identified application was filed on September 23, 2003, without an executed oath or declaration. mailed a Notice to File Missing Parts of Nonprovisional Application (hereinafter "Notice") on December 18, 2003, requiring, inter alia, a properly signed oath or declaration and a late filing fee, oath or declaration and surcharge. Notice further advised Applicant that the oath or declaration failed to identify the citizenship of the inventor.

In response to the Notice, Applicant files the instant petition wherein Applicant avers that the nonsigning inventor refuses to join in the application. Applicant has not filed an oath or declaration in compliance with 37 CFR 1.63 in response to the Notice. Applicant also files a copy of an Employment Verification Letter from the Human Resources Manager at S3 Graphics.

Applicable Law

A grantable petition under 37 CFR 1.47(b) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable oath or declaration in compliance with 35 U.S.C. \$\footnote{S}\$ 115 and 116; (3) the petition fee; (4) a statement of the last known address of the non-signing inventor(s); (5) proof of proprietary interest; and (6) proof of irreparable harm. Applicant lacks items (2) and (5).

As to item (2), the Office notes that pursuant to Section 409.03(b)(A) of the Manuel for Patent Examining Procedure:

Where a corporation is the 37 CFR 1.47(b) applicant, (President, Vice-President, officer Treasurer or Chief Executive Officer) thereof should normally sign the necessary oath or declaration. corporation may authorize any person, including an attorney or agent registered to practice before the Patent and Trademark Office, to sign the application oath or declaration on its behalf. Where an oath or declaration is signed by a registered attorney or agent on behalf of a corporation, either proof of the attorney's or agent's authority in the form of a statement signed by an appropriate corporate officer must be submitted, or the attorney or agent may simply state that he or she is authorized to sign on corporation. Where the the declaration is being signed on behalf of an assignee, see MPEP Section 324. An inventor may not authorize another individual to act as his or her agent to sign the application oath or declaration on his or her

behalf. Staeger v. Commissioner, 189 USPQ 272 (D.D.C. 1976), In re Striker, 182 USPQ 507 (Comm'r Pat. 1973). (Emphasis supplied). Where an application is other than the inventor, one executed by declaration required by 37 CFR 1.63 must state the residence, post office address, name, citizenship of the nonsigning inventor. Also, title or position of the person signing must be stated if signing on behalf of a corporation under 37 CFR 1.47(b).

Applicant has not presented an oath or declaration for the patent application in compliance with 37 CFR 1.63 and 37 CFR 1.64. A properly executed oath or declaration is required.

As to item (5), applicant failed to show or provide proof that S3 Graphics has sufficient proprietary interest in the subject matter to justify the filing of the application (see MPEP 409.03(f)). Acceptable proof would include a copy of the employment agreement between the non-signing inventor and the Rule 47(b) applicant (company), a copy of an assignment agreement showing that the invention disclosed in the application is assigned to the Rule 47(b) applicant, or a legal memorandum signed by an attorney familiar with the law of the jurisdiction stating that a court of competent jurisdiction would by the weight of authority in that jurisdiction award the title of the invention to the Rule 47(b) applicant.

Further correspondence with respect to this matter should be addressed as follows:

By mail: Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

By FAX: (703) 872-9306

Attn: Office of Petitions

By hand: 2201 South Clark Place

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Arlington, VA 22202

Telephone inquiries concerning this decision should be directed to the undersigned at (703) 305-0014.

Derek L. Woods

Petitions Attorney . Office of Petitions